

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
 Kim, et al.)
)
 Application No.: To Be Determined)
)
 Filed: October 27, 2003)
)
 For: Devices for Forming and/or)
 Maintaining Connections between)
Adjacent Anatomical Conduits)

Art Unit: To Be Determined
 Examiner: To Be Determined

22154 U.S. PTO
 10/694334
 102703

Transmittal of a Divisional Utility Patent Application

Express Mail mailing label no. EV330361261US

Mail Stop Patent Application
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith for filing is the divisional utility patent application entitled "Devices for Forming and/or Maintaining Connections between Adjacent Anatomical Conduits."

- ☒ The applicant(s) hereby request(s) the filing of this divisional patent application under 37 CFR §1.53(b) corresponding to copending United States Application Serial No. 09/845,372 filed on April 30, 2001, which is a continuation of U.S. Patent Application No. 08/970,694 filed on November 14, 1997 now issued as Patent No. 6,432,127, which was a continuation-in-part of PCT International Application No. PCT/US97/01468 filed on January 31, 1997, and of United States Patent Application Nos. 08/730,327 filed on October 11, 1996, and 08/730,496 filed on October 11, 1996. No amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.
- ☒ A true copy of the following are enclosed:
 - ☒ the prior application as filed, including all 39 pages of specifications (including 29 pages of written description; 9 pages of claims (Claims 1-54), 1 page of Abstract and 23 sheets of drawings; and
 - ☒ the declaration of inventorship showing the signatures or an indication it was signed.

- ☐ Applicant hereby requests that the above-referenced patent application, filed concurrently herewith, **NOT BE PUBLISHED** under 35 U.S.C. §122(b). In making this request, applicants certify that the invention disclosed in the application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at 18 months after filing.
- ☐ Please delete the names of the following persons who are not inventors of the invention being claimed in this divisional application:
- ☒ Concurrently with filing of this application, please enter the enclosed Preliminary Amendment.
- ☒ The Commissioner is authorized to deduct from Deposit Account No. 50-0878, filing fees in the amount of \$1,330.00, calculated as follows:

For	(Col. 1) No. Filed	(Col. 2) No. extra	Small entity Rate	Fee	Or	Other than a Small entity Rate	Fee
Basic fee				\$	Or		\$1,330
Total claims	18 - 20 =	0	x 0	\$	Or	x 18	\$
Indep claims	1 - 3 =	0	x 0	\$	Or	x 78	\$
_ Multiple dependent claims presented		+ 130	\$	Or	+ 260	\$	
			Total	\$	Or	Total	\$1,330

- ☒ An Assignment of the invention to TransVascular, Inc., was previously recorded in the parent application.
- ☒ In accordance with Rule 136, the Commissioner is hereby petitioned for a **one (1)** month extension of time, extending to **October 27, 2003** the period for response to the Office action dated **June 25, 2003**.
- ☒ The Commissioner is hereby authorized to charge any fees, underpayment and credit any overpayment of the filing fees required under 37 CFR § 1.16 and any patent application processing fees required under 37 § CFR 1.17 to Deposit Account No. 50-0878.
- ☒ Twenty-three (23) sheets of formal drawings are enclosed.
- ☒ The undersigned is the Applicant's attorney of record in the prior application, and all future communications should be addressed to the undersigned at the new address shown herebelow.
- ☒ The statement(s) of Small Entity Status filed in the prior application is no longer proper and is hereby withdrawn.

☐ An information disclosure statement is enclosed.

STOUT, UXA, BUYAN & MULLINS, LLP

Date: October 27, 2003



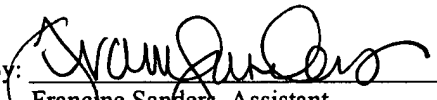
Robert D. Buyan, Reg. No. 32,460

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Facsimile: (949) 450-1764

CERTIFICATE OF MAILING

I hereby certify that this Transmittal letter, the above-identified documents and papers, and a return postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on October 27, 2003 and is addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: October 27, 2003

By: 
Francine Sanders, Assistant

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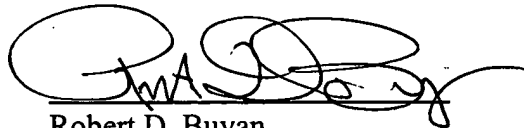
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that the above-identified application for a patent consisting of a seventy-one (71) total pages (a total of 39 pages of application including 54 Claims (9 pages), thirty-two sheets of formal drawings, and an Abstract of 1 page), a transmittal letter of 3 pages, a Preliminary Amendment and a return postcard are being deposited with the United States Postal Service under 37 CFR § 1.10 on October 27, 2003 and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

STOUT, UXA, BUYAN & MULLINS, LLP



Robert D. Buyan
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Date: October 27, 2003

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